

1 SHEPPARD, MULLIN, RICHTER & HAMPTON LLP  
A Limited Liability Partnership  
2 Including Professional Corporations  
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3 J. BARRETT MARUM, Cal. Bar No. 228628  
MATT KLINGER, Cal. Bar No. 307362  
4 GIANNA SEGRETTE, Cal. Bar No. 323645  
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9 Proposed Counsel for Debtors

10 UNITED STATES BANKRUPTCY COURT  
11 NORTHERN DISTRICT OF CALIFORNIA  
12 SAN FRANCISCO DIVISION

13 In re  
14 PROFESSIONAL FINANCIAL  
15 INVESTORS, INC., a California  
corporation; PROFESSIONAL  
16 INVESTORS SECURITY FUND, INC., a  
California corporation,  
17 Debtors.

Case No. 20-30604  
(Jointly Administered with Case No. 20-30579)

Chapter 11

**DECLARATION OF DAVID ALFARO  
IN SUPPORT OF DEBTORS'  
APPLICATION FOR AN ORDER  
AUTHORIZING THE EMPLOYMENT  
AND RETENTION OF FTI  
CONSULTING, INC. AS FINANCIAL  
ADVISORS TO THE DEBTORS  
EFFECTIVE AS OF SEPTEMBER 3,  
2020.**

No Hearing Required Unless Requested]

24 I, David Alfaro, declare:

25 1. Except as otherwise indicated herein, the matters set forth in this Declaration  
26 are within my personal knowledge or are based upon information provided to me by the  
27 Debtors' employees or advisors. If called upon to testify as a witness, I could and would  
28 testify competently thereto.

SMRH:4834-9925-8060.3

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1           2.       I submit this Declaration in support of the *Debtors' Application for an Order*  
2 *Authorizing the Employment and Retention of FTI Consulting, Inc. as Financial Advisors*  
3 *to the Debtors Effective as of September 3, 2020* (the "Application") filed concurrently  
4 with this declaration. All capitalized but undefined terms in this declaration shall have the  
5 meaning given to them in the Application.

6           3.       I serve as a Senior Managing Director at FTI Consulting, Inc. (together with  
7 its wholly owned subsidiaries, agents and independent contractors, "FTI") and, as such, am  
8 authorized to make this declaration of behalf of FTI.

9           4.       I co-lead FTI's Data & Analytics west coast practice, and am a nationally  
10 recognized expert in the areas of collecting and analyzing accounting, operational, and  
11 human resource information.

12           5.       FTI has a wealth of experience in providing financial advisory services in  
13 complex restructurings and reorganizations, including those involving prepetition fraud.  
14 FTI enjoys an excellent reputation for services it has rendered in large and complex  
15 chapter 11 cases on behalf of debtors and creditors throughout the United States. FTI has  
16 expertise in all the aspects of a forensic fraud investigation that are critical to unraveling  
17 the illegal acts of the Debtors' prior management, including performing a corporate bank  
18 account review, assessing the validity of purported security interests, and conducting a  
19 property cash flow analysis, investor netting analysis, and commingling analysis.

20           6.       FTI understands that the Debtors have retained and may retain additional  
21 professionals during the term of FTI's engagement and agrees to work cooperatively with  
22 such professionals to integrate any respective work conducted by the professionals on  
23 behalf of the Debtors. FTI is providing distinct and specific forensic analysis and  
24 consulting services as set forth in the Application, and such Services are not expected to  
25 duplicate those to be provided by any other consultants, legal advisors, or investment  
26 bankers.

27           7.       In consideration of the Services to be provided by FTI, subject to this  
28 Court's approval, the applicable provisions of the Bankruptcy Code, the Bankruptcy

Rules, and the Local Rules, and any applicable orders of the Court, the Debtors have agreed to the following fee structure (the “Fee Structure”) to: (i) compensate FTI for the Services set forth in this Application on an hourly basis in accordance with FTI’s ordinary and customary rates in effect on the date such Services are rendered and (ii) reimburse actual and necessary costs and expenses incurred by FTI in connection with all Services performed on behalf of the Debtors. The customary hourly rates, subject to periodic adjustments, charged by FTI professionals anticipated to be assigned to these Bankruptcy Cases are as follows:

**Corporate Finance Rates, if Requested**

Billing Category	Hourly Billing Rate
Senior Managing Directors	\$920 to \$1,295
Directors/Senior Directors/Managing Directors	\$690 to \$905
Consultants/Senior Consultants	\$370 to \$660
Administrative/Paraprofessionals	\$150 to \$280

**Forensic Investigation** – FTI will bill for all staff, except Paraprofessionals at \$500 per hour. Paraprofessionals will be billed at \$150 per hours.

**FTI eDiscovery** – FTI will bill for services per the attached rate sheet (See Exhibit B to the Application).

8. The hourly rates set forth above are FTI’s applicable hourly rates for the work of its professionals and staff members for the engagement set forth in this Application. These hourly rates reflect FTI’s normal and customary billing practices for engagements of this complexity and magnitude.

9. FTI revises its hourly rates periodically. To the extent this engagement requires services of FTI’s international divisions or personnel, their time will be multiplied by FTI’s standard hourly rates applicable for FTI’s international divisions or personnel. Additionally, FTI may use employees from its U.S. subsidiary affiliates, depending on the Debtors’ needs. To the extent FTI uses employees of its U.S. subsidiary

1 affiliates during this engagement, FTI will charge standard U.S. hourly rates for each such  
2 employee.

3 10. In addition, FTI will invoice the Debtors for its reasonable and direct out-  
4 of-pocket expenses charged during these Bankruptcy Cases, which include, among other  
5 things, telephone and other charges, mail and express mail charges, travel expenses, and  
6 expenses for meals.

7 11. The Fee Structure summarized in this declaration is consistent with FTI's  
8 normal and customary billing practices for comparably-sized and complex chapter 11  
9 cases and transactions, both in and out of court, involving the services to be provided in  
10 connection with chapter 11 cases. Moreover, the Fee Structure is consistent with and  
11 typical of arrangements entered into by FTI and other financial advisory and consulting  
12 firms in connection with the rendering of comparable services to clients such as the  
13 Debtors. FTI believes that the Fee Structure is both reasonable and on market terms.

14 12. FTI has not and will not share or agree to share any of compensation paid or  
15 to be paid by the Debtors, other than as permitted by section 504 of the Bankruptcy Code.  
16 No promises have been made by FTI as to compensation in connection with the  
17 Bankruptcy Cases.

18 13. As of the filing date, FTI has not performed any services for the Debtors or  
19 owed any amounts.

20 14. FTI (i) has no connection with the Debtors, its creditors or other parties in  
21 interest in the Bankruptcy Cases, (ii) does not hold any interest adverse to the Debtors'  
22 estates; and (iii) believes it is a "disinterested person" as defined within section 101(14)  
23 of the Bankruptcy Code. See Schedule A and Schedule B attached to this declaration for  
24 a complete list of the parties searched.

25 15. FTI will conduct an ongoing review of its files to ensure that no conflicts or  
26 other disqualifying circumstances exist or arise. If any new material facts or relationships  
27 are discovered or arise, FTI will supplement its disclosures to the Court.  
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I declare under penalty of perjury under the laws of the United States of America  
that the foregoing is true and correct. Executed on September 30, 2020, at 50 California  
Street, Suite 1900, San Francisco, CA 94111

DocuSigned by:  
*David Alfaro*  
8280CA904A20419  
\_\_\_\_\_  
David Alfaro

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**SCHEDULE A**

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

***Debtors***

Professional Financial Investors, Inc.  
Professional Investors Security Fund, Inc.

***Officers and Directors (former and current)***

Ken Casey  
Michael Hogan

***Creditors***

350 Ignacio Condominium  
ABC Trust  
Alan Abendschein  
Jacques Achsen  
Erin Ackenheil  
Dr. James Adams  
James R. Adams  
Val Addams  
Frank Adel  
Dianne Adel  
Hal Adler  
Mikhela Ahl  
Susan Aiken  
Sue Aiken  
Ramin Akhbari  
Alan W. Ziff Revocable Intervivos Trust  
Charlene Albanese  
Betsy Alberty  
Colleen Alexander  
Randall Alifano  
John Alilovich  
Giorgio Allegro  
Glenn Allen  
Andrew Alpine  
Roger Alstad  
Deborah Alstad  
John Althuisen  
Kathy Altman  
Robin Altman  
Madeleine Altmann  
Anne Ambuehl  
Farroukh Amini  
Fred Amini  
Zibandeh Amini  
Amini LLC  
Ingrid Ammondson  
Annette Amorello  
Margot Anand  
Jeffrey Anderson  
Kurt Anderson  
Sharon Anderson  
Barry Anello  
Birgess Angelus  
Paule-Dominique Anneheim  
Kuno Anselm  
Gregory Anton  
Nasus Aransu  
Akram Arastehjoo  
Gavin Archbald  
Ana Maria Ardon

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Armanino LLP  
Nakota Ashtarte  
Catia Asirelli  
Susan August  
Avilas  
Anand Ayyar  
Nathalie Babazadeh  
Paul Babbitt  
Karen Bagatelos  
Michael Bagatelos  
Peter Bagatelos  
Robert Bailey  
Eizabeth Baker  
Banner Bank  
Peter Banning  
Naomi Baran  
Betty Barber  
Jill Barker  
Elizabeth Barnet  
Luna Baron  
Laura Barry  
Marcy Baskin  
Pamela Bates  
Markus Baue  
Shirley Bauer  
Joseph Bauer  
Bay Cities Refuse Service, Inc.  
Bay Counties Pest Control, Inc.  
Kate Bayer  
Eli Jaxon Bear  
Colin Beatty  
Adina Ariana Beaumont  
Francesca Becker  
John Becker  
Frances Becker  
Lynn Beckerman  
Jeramy Bede  
Katherine Bedeian  
John Behrens  
Ronald Beickert  
Theresa Beldon  
Gary Bell  
Richard Bell  
Taressa Bell  
Abby Bell  
Jane Bell  
Carol Bella  
David Bellecci  
Carl Belline  
Beatrice Benjamin  
Beverly Vistara Benoit  
Tina Benson  
Bobbi Berens  
Gary Berger  
Robert Berling  
Ryan Berling  
Martin Bernbaum  
Joshua Berns  
Cris Berns



**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Bernard John Bersamina  
Jean Berwick  
Jean Claude Besharat-Zadeh  
Anne Marie Besharat-Zadeh  
Rebecca Bess  
Ginette Besso-Pianetto  
Harry Best  
Claudia Betz-Haubold  
Bidaurreta Landscape  
Laurie E. Bishop  
Wanda Bishop  
Henry Black  
Shane Black  
Elliott Blackman  
Beverly Blackman  
Jason Blackman  
Alan Blavins  
Zoey Bloom  
Rufus Blunk  
Felix Rodriguez Bojorquez  
Janet BONVALLET  
Linda Booth  
Laurelyn Borst  
Kiah Bosy  
Richard Bouck  
Margaret Bourne  
Stephen Bowers  
Faith Boyarin  
Jo Ellen Bradley  
David Brady  
Eric Brand  
Mario Bravo  
Jean Brenda Abisalih  
David Broadbent  
Dax Brooks  
Diane Brown  
Hilary Brown  
Jerald Barry Brown  
Liza Brown  
Susan Brubaker  
Kelli Buck  
Jeanne Buckens  
Michael Buckley  
Kevin Buckley  
Frank Buffum  
Gail Bunge  
Lisa Burke  
Jacqueline Burns  
James Burns  
Vicki Burns  
Yueli Burns  
Bye Family Trust  
Jeanne Byrne  
Margaret Caffery  
Cheryl Cahn  
Donna Cales  
California Department of Tax and Fee Administration  
California Foliage Company  
Michelle Callaghan

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Shela Camenisch  
Christine Campbell  
Jaime Campbell  
Leslie Campbell  
Michele Campbell  
Campbell  
Shannon Cantrell  
Lenore Cantrell  
Jon Caredis  
Dennis Carmona  
Erin Carney  
Elise Carroll  
Jon Carroll  
Lorraine Carroll  
Karen Carson  
Chris Cashman  
Irma Castillo  
Martha Cate  
Conroy Cavanna  
Pamella Cavanna  
Matthew Cavanna  
Craig Cerney  
Rebecca Cernich  
Jacqueline Chan  
CARRIE CHASE  
Regina Chase  
Stuart Chase  
Chase Bank  
Kenneth Chasser  
Bharat Chatkara  
Nathaniel Chavin  
Sabrina Chaw  
David Chen  
Anya Cheng  
Fu-Tung Cheng  
Kim Chernin, Ph.D  
Elaine Chernoff  
Thomas Chesus  
Chiropractic Healing Arts  
Janardhan Chodagam  
Nalini Chodagam  
Sergio Meza Chopin  
Tia Christina  
Jeffrey Chubak  
Farid Cinemaie  
City of Novato  
Teresa Ann Clark  
Stephen Clark  
Paul Clark  
John Clarke  
Cynthia Clarkson  
Karen Clinton  
Virginie Cochard  
Alexandra Cock  
Alicea Cock-Esteb  
James Cogan  
Andra Cohn  
Susan Cole  
Gary Cole

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Richard Cole  
Gina Scagliola Collier  
Edward Collins  
Cynthia Colzani  
Comcast  
Joann Conant  
Lucile Condrill  
Susan Connick  
Laura Coogan  
Andrew Howard Cook  
Alix Cooley Cooley  
John Cooley, III  
Douglas Cooper  
Susan Cooper  
Robert Cordova  
Calftch Corporation  
Olivia Corson  
Virginia Corzine  
Stanley Corzine  
Marguerite Courtney  
Meg Courtney  
Tim Cowman  
Daniel Cowman  
Frank Crawford  
Frances Crosse  
Crown & Shield Exterminators  
Francisco Cruz  
Liping Cui  
Doreen Cumberlandford  
Francis Curran  
Kathleen Curry  
Madeleine Curtis  
Eva Maria Czubak  
Rodney D. Sharp  
Lynne Daniels  
Richard Danon  
Vincent D'Arco  
Lauren Ann Darges  
Marie Jose Dauphin  
Robin David  
Debra Dawson  
John Day  
Mariah Morelli Day  
Marie-Charlotte de Bellefroid  
Camille de Mondesir  
Lisa de Mondesir  
Dale Dean  
Sharon Dean  
Margaret Deane  
Ramon DeAngelo  
Joshua Deitch  
Teresa Del Giomo  
Jia Deng  
Penny Denney  
Tagra Shanoff Dent  
Department of Housing and Urban Development  
Joann DePetro  
Nancie Deross  
Robert DeRoss

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Donna DeRoss  
Doris DeRoss  
Rovert Deross  
Robert DeRoss, Jr.  
Donna D'Eufemia  
Gail Deutsch  
Nandi Devam  
Nancy Dew  
Martin Diamond  
Jan Dickinson Wood  
Calvin E. Dickson  
Artemis Dimartino  
Eileen Dingle  
Eileen Dingle  
Janet DiPaolo  
Robert Diskint  
Roger DiVirgilio  
Lindsay Divirgilio  
Dan Dodt  
Christian Doering  
Arnold Bruce Doll  
Dominguez  
Julianne Donahue  
Larry Donegan  
Giovanni Donovan  
Roger Douglas  
William Doyle Jr  
Jeanette Drew  
Jill Du  
Kevin Duarte  
Marcy Dubova  
James Dukes  
Brenda Dukes  
Robert Dukes  
Linda Dukes  
James Dumars  
Marilyn Dunham  
Peter Duran  
Robin Durrie  
Mary Durst  
Tracy Eannetta  
Julia Earl  
Robert Earle  
Alicea Easthope-Frazer  
George Ebey  
Shanna Edelson-Rader  
EIS Consulting Group, Inc.  
Eatough Ellen  
Michele Elliott  
Elmore  
Hilary Emer  
Employment Development Department  
Mark Eppard  
Elliot Erdman  
Guy Erdman  
Judith Erdman  
Jody Erdman  
Eric Sternberger, Esq.  
Stanford Espedal Jr.

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Mary Jean Espulgar-Rowe  
Estate of Julie Holmes  
Richard Esteb  
Dana Ewell  
Harold Ewing  
Barry Fadem  
Barry Fadem  
Ruth Falk  
Andrea Faraday  
Debora Farber  
Charles Farrell  
John Feld  
Clifford Robin Fenton  
Amy Ferber  
Jessica Ferrar  
David Fersten  
Patricia Fields  
Sandy Fields  
Patricia Fields  
John D. Fiero  
Kirsten Findlay  
Ellen Fineberg  
First Foundation Bank  
Gary Fisher  
Fishman Supply Company  
Denis Fitts  
Sally Fitts  
Davida Flattery  
David Flattery  
Glenn Fleisch  
Mark Fleischman  
Arnold Fleming  
Natalie Foote  
William Ford  
Daniel Forer  
Scott Forer  
Liana Forest  
Roy Forest  
Hal Forman  
Franchise Tax Board  
Carl Franklin  
Franzi Construction and Demolition  
Kevin Fraser  
Andrea Frederickson  
Sue Freeman  
Michael French  
Jacqueline French  
Mark French  
Aaron Friedman  
Robert Friedman  
Judith Friedman  
Elmo Froemke, III  
Carmen Froemke, III  
Birgess Fuquay  
Pamela Gaffney  
Solana Galen  
Barbara Galyen  
Angelica Garcia  
Jessica Garcia

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Eugene Gebow  
Christine Geiger  
Joyce Gertler  
Richard Gervais  
Anne Getz  
C. Peter Gibb  
Wendy Gibb  
Gibbs Law Group LLP  
Robin Gifford  
Alan Gikkas  
Jill Gilbert  
Catherine Gilbert  
Gayla Gilbert  
Andrew Gillihan  
Nancy Gimblin  
Theodore Gizewski  
Daniel Glassoff  
Pamela Glassoff  
Thaddeus Golas  
Jesenia Gold  
Jodi Gold  
Avrum Goldberg  
Samuel Goldberger  
Elizabeth Goldblatt  
Golden Gate Center for Spiritual Living  
Golden Gate CSL  
Golden Gate Investigations  
Tamara Goldman  
Judith Goldman  
Ursula Goldstone  
Cheryl Goodman  
Janet Goodman  
Gordon Cato Landscape  
Laura Gouldthorpe  
John Gouldthorpe  
Deborah Graham  
Mary Ann Granieri  
Debra I. Grassgreen  
Elizabeth Greason  
Dennis Green  
David Green  
Mary Green  
Green  
Barry Greenberg  
Gisela Greene  
Anne Greenfield  
Judith Greenleaf  
Beth Greer  
Dr. Ted Greidanus  
August Greidanus  
Anna Greidanus  
Paul Greidanus  
Eric Greidanus  
Anna Greidanus  
Cyrus Peter Greidanus  
Elijah Charles Greidanus  
Eric Greidanus  
Samuel Greidanus  
Sophia Elizabeth Greidanus

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Susan Grooms  
Stephen Gross  
Raymond Grott  
Dennis Grover  
Gilles Guerin  
Poonam Gujral  
Rama Gulati  
Rae Ann Gustafson  
Kaye Guthrie  
Donald Guthrie  
Frank Gyorgi  
Alexandra Habonda  
Tonia Hafter  
Spencer Hahn  
Kathleen Hallin  
Steven Halpern  
Kenneth Halpern  
Kristin Hamilton  
Violet Hanada  
Eleanor Hanauer  
Carl Hangee-Bauer  
John Hanks  
Rachel Hannah  
Zoe Hansen  
Sharon Hansen  
Leslie Harari  
Carole Harbard  
Zoanne Harris  
Samuel Harris  
Tammra Harrison  
Rebecca Hartley  
John A. Hartog  
Harvard College  
Deborah Harvey  
Thia Haselton  
Susanne Hays  
HD Supply Facilities Maintenance  
Kathleen Hearn  
Inessa Hearsey  
Charles Hearsey  
Sylvia Palugyai Heber De Lazar  
Harald Heede  
Fredrick Heiman  
Judith Helfand  
Jayme Kate Heller  
Ronald Helling  
Mary Helm  
Dorothy Helm  
Catherine Henderson  
June Hengst  
Heritage Bank of Commerce  
Freddy Hernandez  
Leah C. Herras  
Marcos Herrera  
Edwin Herzog  
Rod Heschong  
Hilcias Painting, Inc.  
Robert Hill  
Sam Hilt

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Pamela Hilt  
Robert Hines  
Shin Jng Ho  
Shin-Jung Ho  
Joshua Hobbs  
Miles Hobbs  
Steven Hoffman  
Steven D. Hoffman  
Michael Hogan  
John Hogan III  
Michele Hogan III  
Hoggan Family Trust  
Robert Holtz  
Home Depot Credit Service  
Home Improvement  
Colin Honess  
Heaven Hope  
William Horwitz  
Connie Hoshor  
Peter Howard  
Susan Howard  
Susan Mayginnnes Howard  
Elizabeth Huchberger  
Connie Huckaba  
William Hughes, Jr.  
Ian Hutagalung  
Pennie Hutt  
Marta Hutz  
Susan Hyde  
Ingrid Iacovetto  
Victoria Iacovetto  
Victoria Iacovetto  
Ignacio Hills Association  
Mark Illeman  
Henri Illien  
Liusa Martina Inca  
Liusa Martina Inca  
Ariel Indenbaum  
Arthur Indenbaum  
Judith Ingala  
Debbie Ingle  
Inland Business Machines, Inc.  
Internal Revenue Service  
Saul Isler  
Adrian Issac  
IT 1 Source LLC  
Momoko Ito  
Sunny Jackson  
Ellen Jackson  
Eli Jackson  
Erica Jacobs  
James Jacobs  
Chris Jacobsen  
Jerelyn Jacobson  
Laurie Jacobson  
Glenn James  
Elizabeth Jameson  
Edl Stein Janice  
Mimi Janislawski



**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Peter January  
Milia Jarjoura  
Semaan Jarjoura  
Gabriel Javier  
Juliana Javier  
Aurther Javier  
Javier Family Trust 2006  
Joel Javish  
Eli Jaxon-Bear  
Fern Jeffcoat  
Paul Jeffcoat  
Fern Jeffcoat  
Hong Jin  
Zhong Jin  
Larry Johnsen  
Aaron Johnson  
Charlene Johnson  
Maya Johnson  
Rita Johnson  
Giti Jomehri  
Spencer Jones  
Traute Jones  
Mary Jordan  
Jai Josefs  
Maitri Joy  
Kathleen Joy  
TASHI JUCHUNGTSANG  
Anodea Judith  
Frances Kalfus  
Raymond Kaliski  
Aaron Kamins  
Sara Kamins  
Paul Kandel  
Janice Kaplan  
Susanne Karch  
John Karr  
RHONDA H. KARSCH  
Ken Kaufman  
Andrew Kaus  
Bradley Kaus  
Jeff Kaus  
Teresa Keith  
Elizabeth Kelley  
Susan Kelley  
Bennett Kemp  
Dasan Kennedy-Smith  
James Kerr  
Kesten  
Carol Keys  
Susan Keyte  
Parmatma Khalsa  
Elizabeth Kilner  
Chul Kim  
PAUL KIMMEL  
Eva King  
Ann King King  
Karin Kinsey  
Kenneth Kirschenbaum  
Perry Kirshenblatt

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Michele Anne Kittenger  
Mel Kiyama  
Barbara Klare  
Gary Kleiman  
Rebecca Klein  
Mindia Klein  
Larry Kloenhamer  
Marilyn Knecht  
Stephen Knecht  
Nicole Knowlton  
Deborah Knutson  
Paula Koepke  
Robin Kohn  
Denise Kokinis  
Denise Kokinis-Greenberg  
Kay Komoroske  
Tatjana Kopp  
Hannah Kopp-Yates  
Gera Korte  
Laura Kradjan-Cronin  
Victoria Kramer  
Moses Kravitz  
Morgan Krizan  
Gail Krowech  
Sandra Kruse  
Kristine Kuebler  
Bruce Kunkel  
Melissa Kzaz  
Labor Commissioner, State of California  
Kathleen Lai  
Mellisa Lai  
Shui Ping Lai  
Linda Lam  
Richard Landry  
Frank Lange  
Susan Lantis  
Michael Lapidus  
Zena Lapidus  
Marcia Larkin  
Paul Larkin  
Lee Larson  
Carol LaRusso  
Thomas LaRusso  
Michael Laskoe  
Philip Lastreto  
Juleen Latvala  
Rain Laudisio  
Marie Lavin  
Patricia Leah Lazar  
Sylvia Lazar  
Maia Lazar  
John Lazzaretto  
Leah Lazzarini  
Leadership Pathways  
Michael Leary  
Alan Lee  
Wing Lee  
Vicki Leeds  
Vincent Lee-Shue

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Leonard Leinow  
Melinda Leithold  
Richard Leivenberg  
Lynn Leonardi  
Julia Leonardi-Holzapfel  
Joan Leopold  
Frances Lerner  
Judith Less  
Matthew Letson  
Adam Levin  
William Levine  
Zoe Clair Levine  
Carole Levine  
Carol Levow  
Premisiri Lewin  
Christopher Lewis  
Yayoi Nagano Lewis  
Lewis Maldonado US EPA  
Miriam Lichtenstein  
Ana Linder  
Georgia Linzmeyer  
Shuyu Liu  
Gottfried Liu  
Alfred Lizak  
Kerry Loeb  
Jennifer Lolley  
Marie Lomonaco  
Trudie London  
Jilda Loomis  
LoopNet  
Kevin Loughman  
LTS of Marin  
Michael Luchino  
Lila Luk  
Lynn Lumiere-Wins  
Janet Lurie  
Jane Lurie  
David Lustig  
Jason Lustig  
Kaylin Lustig  
Patricia H. Lyon  
Angela Macon-Barker  
Sriharshavardhan Madatanapalli  
Sylvia Maendl  
Michael Maendl  
Dipti Magera Anderson  
Victoria Maguire  
Karen Maher  
Marianne Mahoney  
Dr. Richard Mahrer  
Muriel Mahrer  
Cindy Mahrer  
Douglas Mahrer  
Greg Mahrer  
Randy Mahrer  
Richard Mahrer  
Linda Maio  
Derek Maldonado  
Liza Maldonado

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Giovanna Malhotra  
Kim Manchester  
Marie Mannschatz  
Nancy Mapes  
Marc Elias Maintenance  
Douglas Markey  
Naomi Marks  
Linda Marquez  
Ilona Marshall  
Rita Martin  
Simone Martin  
Joanna Martinelli  
Abel Martinez  
Loic Massias  
Mata  
Matrix HG, Inc.  
Phyllis Matyi  
Ingrid Maurer  
Corey Tobia Maxon  
Thomas Maxon  
Zoe Maxon  
Cynthia Maxon  
James May  
Jay Mayer  
John Mayginnnes  
Walter Mazar  
Vivian Mazar  
Robin McBlaine  
MCC Building Maintenance, LLC  
Francesca McCartney  
Mary Beth McClure  
Clinton McDuffie  
Dale McElroy  
William McGee  
Michaela McGivern  
Sasha McInnis  
Robert McInnis  
Mike McInnis  
Nicholas McInnis  
Donna McLaughlin  
Richard McLeod  
Virginia McLeod  
Edward McManus  
susan McNeil  
Linda McNulty  
Joan McPhaul  
Maureen McVerry  
Roger Meadows  
Senya Means  
Salvador Melgoza  
Melgoza  
Charlotte Meloney  
Christopher Meloney  
Shane Memisevic  
Manuel Mendoza  
Alexander Meredith  
Meridian Commercial  
Keith Merron  
Jennifer Messina

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

James Metcalfe  
Robert Meyer  
Mary Michaels  
Christiane Michaels  
Craig Michel  
Patricia Michel  
Craig Michel  
Jocelyn Miguel  
Andrew Milberg  
Victoria Fitts Milgrim  
Catherine Millar  
Kathleen Giurlani Miller  
Claudia Miller  
Judith Miller  
Prudence Miller  
Daniel Miller  
Dennis Miller  
John Miller  
Sequoia Miller  
Roger Miller  
Chris Miller  
Jamie Millican  
Susannah Mills  
Patricia Minolli  
Norman Minta  
Dena Mitchel  
Pamela Mitchel  
Sasa Mlakar  
Adil Modan  
Mary Moehle  
Samuel Mohr  
Robert Molino  
Maria Molino  
Molino Family Trust 2014  
Roberta Mollot  
Heather Moltz  
Inge Monteith  
Benjamin Moore  
Bruce Moore  
Samuel Moore  
Elizabeth Moore  
Juan Mora  
Moreno's Cleaning Services  
Lee Morgan  
Ronald Morgan  
Deborah Morris  
Nancy Morris  
Nancy A. Morris  
Jaye Allison Moscariello  
Breanna Moskovitz  
Harvey Moskovitz  
Jason Moskovitz  
Kathleen Mulcahy  
Kathryn Mulcahy-Morgan  
Albert Munich Jr.  
Suki Munsell  
Russell Munsell  
Suki Munsell  
Louise Murphy

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Edward Murrell Jr.  
Barbara Musser  
Teresa Muzick  
Margaret Myers  
John Nadler  
Gary Nadler  
Yayoi Nagano  
Vivianne Nantel  
Nardell Chitsaz & Associates LLP  
Phyllis Narum  
Kristin Nauth  
Bruce Nelson  
Barry Nemrow  
Nerviani Paving Inc.  
Irene Newmark  
Helen Newsom  
Judithe Nicolai  
Linda Nicoletto  
Joseph Nicoli  
Ian Noah  
H. Robert Noble  
Marian O'Dowd  
Office of Regional Counsel  
Office of Regional Counsel, ORC-3  
Office of The United States Trustee  
Marilyn Oliva  
Paho Olive  
Linda Olson  
OneUnited Bank  
Jessica Orchier  
Henry Orchier  
Orix Real Estate Capital  
Orkin Pest Control  
Sue Orloff  
Ruben Ortiz  
William Ortiz  
Lois Osborne  
Lois Osborne IV  
Allen Osborne IV  
Bill Robert Ossolinski  
Paule Oudet  
Sharon Overbey  
Rick Pacheco  
Pachulski, Stang, Ziehl, and Jones  
Pacific Western Bank  
Stephen Page  
Firooz Palizi  
Maryam Palizi  
Lynsey Palmer  
John Palmer  
Dominique Pandelle  
Sandhya Pandey  
Puru Pandey  
Liana Paoella  
Marie Pardi  
Daniel Pardi  
Gillian Parker  
Virginia Pascoe  
Mara Passetti

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Phyllis Pay  
PBCC  
Paul Pedroni  
William Pennington  
Kathleen Pepe  
Jantje Perry  
Dante Perry  
Dante Perry Sr.  
Karen Petersen  
Robert Peterson  
Cyle Petrig  
Paul Petrig  
Jason Pettinato  
PG&E  
Anne Phan  
Sophie Phelps  
Gabriel Phillips  
Kate Phillips  
Miriam Phillips  
Sally Phillips  
Walter Phillips  
Stephanie Phippen  
Virginia Pierce  
Pini Ace Hardware  
Lisa Poksay  
Jack Polan  
Turner Pope  
Poppy Bank  
Martin Potrop  
Beverly Potrop  
Doyle Pratt  
Kathryn Preece  
Debra Price  
Gary Priest  
Theresa Prince  
Victoria Prince  
Tim Pritchard  
Public Health Service, U.S. Depart. of Health & Human Services  
Celia Puff  
Sally Pugh  
Susana Pumares  
Grace Purusha  
David Purviance  
Robert Putzi  
Iryna Pysareva  
David Rabb  
Sonia Giguere Rafael  
Ragghianti Freitas LLP  
Tony Ragona  
Robert Ramos  
Jeffrey Ranta  
Beth Rasmussen  
Bridget Raugh  
Paul van Ravenswaay  
Real Goods Solar Living Center  
Ira Rechtshaffer  
Redwood Security Systems, Inc.  
Gwyn Reed  
Mildred Reff

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Priscilla Regalado  
Donald Regner  
Theodore Reich  
Sharon Reif  
Cheryl Reinhardt  
Elke Reinhardt  
Morgan Reiter  
Thomas Revelle  
Katherine Revoir  
Jeremy Reynard  
Julie Reynolds  
Teresa Marie Ribeiro  
Judith Rich  
Richard Clark Trust (Cole)  
Maribeth Riday  
Dean Rienecker  
Bruce Riesenman  
Arthur Riggs  
Joseph Rizzo  
Mark Rizzo  
John Robbins  
May Roberts  
David Robinson  
Michael Roche  
Terry Rochester  
Dennis Rodebaugh  
Neal Rogin  
Anne Rohrbach  
Manuel Romero  
Jarek Romero  
Sally Rondio  
Shady Rose  
Maria Rosenthal  
Peter Ross  
Bonna Ross  
Sheila Ross  
David Ross  
Janet Rostad  
Joel Rovins  
Barbara Rozen  
Constance Ruane  
Joel Rubenzahl  
Michael Ruddell  
Janna Rudolph  
Thomas Rumsey  
Edward Russell  
Barbara Ryan  
Clyde Sada  
Sage Financial Renewal  
Patricia Salas  
Lori Saltzman  
Anna Salvador  
Amrit Samraht  
Kathleen Samson  
Stephanie Sanders  
Katharina Sandizell  
Susan Sandson  
Susan Sanford  
Ann Marie Santana



**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Rich Santoro  
Richard Santoro  
James Scagliola  
Angela Scagliola  
Shelley Scammell  
Margid Schaefer-Sharp  
John Schaeffer  
Margid Schafer-Sharp  
Michael Schapiro  
John Schaumleffel  
Diane Schaumleffel  
Robin Schild  
Renee Schley-May  
Janet Schlitt  
Cheryl Scholar  
Dorothy Scholar  
Ed Schollenberg  
Michael Schrag  
Linda Schuller  
Linda Schulz  
Quinn Schumaker  
Claudia Schwalm  
Harvey Schwartz  
Kerry Schwartz  
Jim Sciaroni  
Caitanya Scott  
John Seago  
Second Nature Interior Plant Co.  
Secretary of State, State of California  
Secretary of the Treasury  
Hortensia Bertha Segovia Montoya  
Amber Seitz  
William Sellin  
Dev Sellin  
Sellin Family Trust  
Bahira Sells  
Daniel Wayne Sermon  
Taylor Sermon  
Philip Servedio  
Masumeh Sharifi  
Leila Sharifi  
Masumehl Sharifi  
Rodney Sharp  
Jannell Shaw  
Carlos Shelton  
Dominique Shelton  
Mary Shelton  
Tina Shelton  
Devaed Sherman  
Pema Sherpa  
Linda Sherwood  
Wen Shi  
Steven Showen  
Vicki Lee Shue  
Richard Lee Shue  
Matthew Shutman  
Ivory Sidell  
Scott L. Silver  
Silver Law Group

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Beverly Silverman  
Kenneth Silverman  
Curtis Silvershield  
Mario Silvestri  
Carolyn Silvestri  
Marisa Silvestri  
Carolyn Silvestri  
Noreen Simko  
Ningay Sing  
Elisa Skarveland  
John Skinner  
Nick Slater  
Zachary Slater  
Timothy Slaughter  
Small/Walraven, LLC  
Barbara Smith  
Jeffrey Smith  
Laurene Smith  
Lynne Smith  
Mary Jane Soares  
Social Security Administration  
Diane Soffer  
Nadine Soffer  
Karen Solomon  
Demian Solomon  
Michael Sommer  
Patricia Sommers  
Elizabeth Sosnick  
Joseph Spair  
Catherine Spanger  
Robin Sparks  
Steven Speelmon  
Lauren Spelman  
Jeanne Spenard  
Raleigh Spiger  
Mike Spitzer  
Susan Springer  
Wanda Stafford  
Stanley Convergent Security Solutions, Inc.  
Sirra Starre  
Grace Stella  
Lawrence Stentzel IV  
Steven Stept  
Geri Stern  
Eric Sternberger  
Mark Sterns  
Kitt Stevens  
Kitt Stevens  
Ardath Steves  
Harold Stone  
Sidra Stone  
Shannon Strange  
Jerry Stuart  
Fiona Sullivan  
Nicole Sumner  
Oliver Suzor  
Violet Swanson  
Cynthia Sweet  
Ken Swinarski

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Robert Switzer  
Robert Switzer  
Toby Symington  
Terrienne Tafreshi  
Jeffrey Taggart  
Jeff Taggart  
French Lyon Tang  
Giovanna Taormina  
Davida Taurek  
Kamura Taylor  
Uta Taylor  
William Taylor  
William R. Taylor  
Suzanne Teal  
Tonya Tecca  
Sima Tei  
Jill Teitelman  
William Tennant  
Nadia Terras  
The Gangaji Foundation  
Judith Thomas  
Heather Thornton  
Woodson Thornton III  
Christina Tillotson  
Arthur Tirman  
Daniel Tirman  
Leonard Tirman  
Paul Tison  
David Titus  
Victoria Titus  
Susan Toch  
Erika Togashi  
Virgilio Tomasi  
Barry Toronto  
Sigrun Torinus  
Township Engineering Inc.  
Daryl Tran  
Transbay Security Service  
Donald Trant  
Lisa Travis  
Richard Travis  
Cristine Traxler  
Tri Counties Bank  
John Trimble  
Douglas Troyer  
Kimberly Tubbs  
Jack Tuls  
Stephen Turer  
Ted Turina  
Jon Turner  
Janice Tweedy  
U.S. Department of Labor, Employee Benefits Security Administration  
U.S. Dept. of Health & Human Services  
U.S. Securities and Exchange Commission  
Lydia Uchida-Sakai  
Peter Ujlaki  
United States Department of Education  
United States Department of Veterans Affairs  
Universal Site Services

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Elizabeth Ury  
US Bank  
Andreas Uthoff  
Paul Valenti  
Scott Valentino  
Jane Valerius  
Nancy Van Horn  
Thomas Vasconcellos  
Lauren Vela  
Claire Verbinski  
Rodney Verner  
Diane Vetterlein  
Michael Veys  
Rose Viggiano  
Guia Villanueva  
Bruce Vittitow  
Hans Paul Vogl  
Geeta Vohra  
Elyse Vosburg  
Matt Vosburg  
Karen Vyner-Brooks  
Christopher Wakefield  
Stephen Wakefield  
Nora Wakefield-Clarke  
Margaret Wakeley  
David Waldman  
Trisha Waldron  
Leslie Wallach  
Warren Construction & Roofing, Inc.  
Water One Industries, Inc.  
John C. Watkins  
Patricia Watkins  
Judyth Weaver  
Joan Webb  
Margaret Weber  
Judith Weinberg  
Beverly Weinkauff  
Thomas Weise  
Lewis Weiss  
Syd Weiss  
Lewis Weiss  
Jordan Weitz  
David Wertheim  
Warren Wertheimer  
Steven Wertheimer  
John West  
Suzanne Whaley  
William White  
Josie Whitehurst  
Johanina Wikoff  
Cecilia Wilcoxon  
Vicki Wilkerson  
Lynne Williams  
Joan Willis  
Dennis Wills  
Susan Wilson  
Carol Wilson  
Robert Wilson Jr.  
Hilary Winslow

**Schedule A**  
**SCHEDULE OF INTERESTED PARTIES**

Rachelle Winterbourne  
Patricia Winters  
Jeff Witten  
Gavin Wittje  
Tom Wodetzki  
Jill Wolf  
Linda Woodard  
Judith Woodrow  
Howard Woodruff  
Jeanne Woods  
Signe Yager  
Ana Yamashiro  
Mary Yannaghas  
Andrea Yee  
Karyn Young  
Gregory Youngblood  
Valence Wan Yu Li  
Michael Zabelin  
Nicholas Zabelin  
Nina Zabelin  
Peter Zabelin  
Gino Zalunardo  
Timothy Zalunardo  
Paula Zamora  
Vera Zaskevich  
Dana Zed  
Bonnie Zell  
Eric Zell  
Tracy Zell-Bennett  
Charles Zibitt  
Alan Ziff  
Alison Ziff  
Joan Ziff  
Sharon Ziff  
Eugene Ziff  
Zillow Rental Network  
Burke Zimmerman

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**SCHEDULE B**

**Schedule B**  
**SCHEDULE OF INTERESTED PARTIES THAT CURRENTLY EMPLOY**  
**OR HAVE FORMERLY EMPLOYED FTI**

<b>INTERESTED PARTY OR AFFILIATE</b>	<b>RELATIONSHIP TO DEBTOR</b>	<b>CLIENTS AND THEIR AFFILIATES</b>
Professional Financial Investors, Inc.	Debtor	Professional Financial Investors, Inc. is a current client and is subject to this retention application.
Professional Investors Security Fund, Inc.	Debtor	Professional Investors Security Fund, Inc. is a current client and is subject to this retention application.
Chase Bank	Creditor	Chase Bank is a current client in matters unrelated to the Debtors.
Comcast	Creditor	Comcast is a current client in matters unrelated to the Debtors.
HD Supply Facilities Maintenance	Creditor	HD Supply Facilities Maintenance is a current client in matters unrelated to the Debtors.
Internal Revenue Service	Creditor	Internal Revenue Service is a current client in matters unrelated to the Debtors.
Nardell Chitsaz & Associates LLP	Creditor	Nardell Chitsaz & Associates LLP is a current client in matters unrelated to the Debtors.
Pachulski, Stang, Ziehl, and Jones	Creditor	Pachulski, Stang, Ziehl, and Jones is a current client in matters unrelated to the Debtors.
PG&E	Creditor	PG&E is a current client in matters unrelated to the Debtors.
U.S. Securities and Exchange Commission	Creditor	U.S. Securities and Exchange Commission is a current client in matters unrelated to the Debtors.
US Bank	Creditor	US Bank is a current client in matters unrelated to the Debtors.
Pacific Western Bank	Creditor	Pacific Western Bank is a former client of the firm (within the last 2 years).
Jeffrey Smith	Creditor	Jeffrey Smith is a former client of the firm (within the last 2 years).